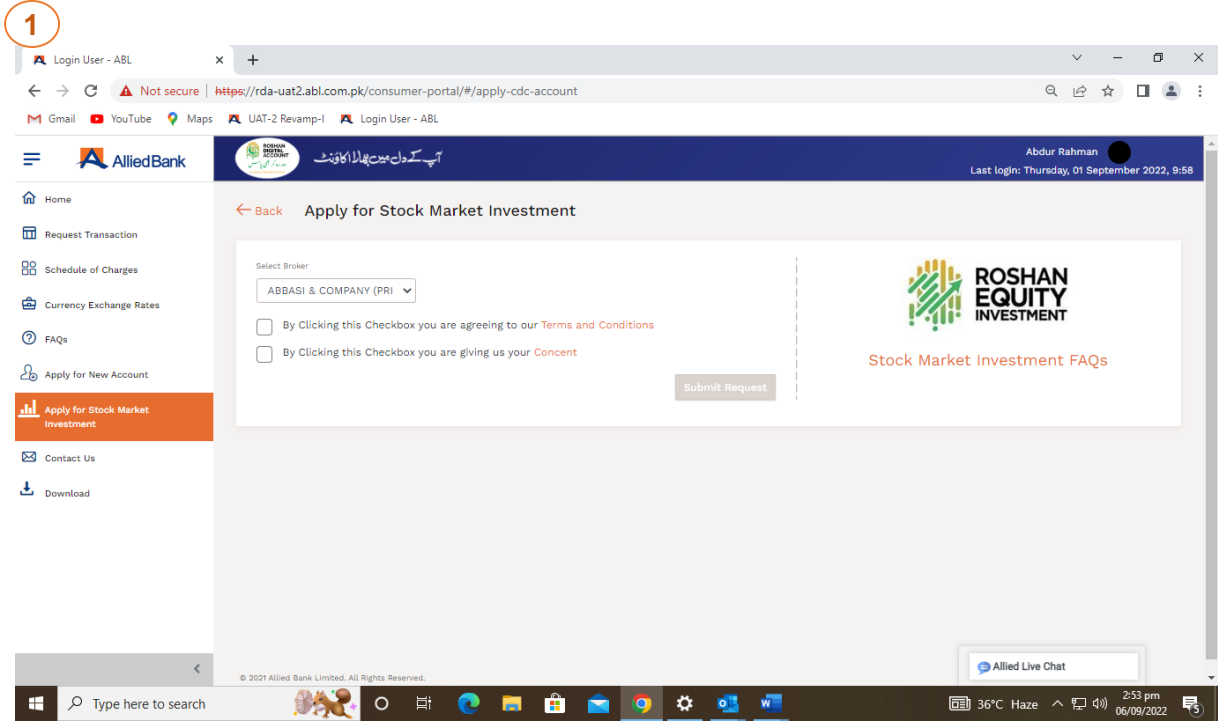
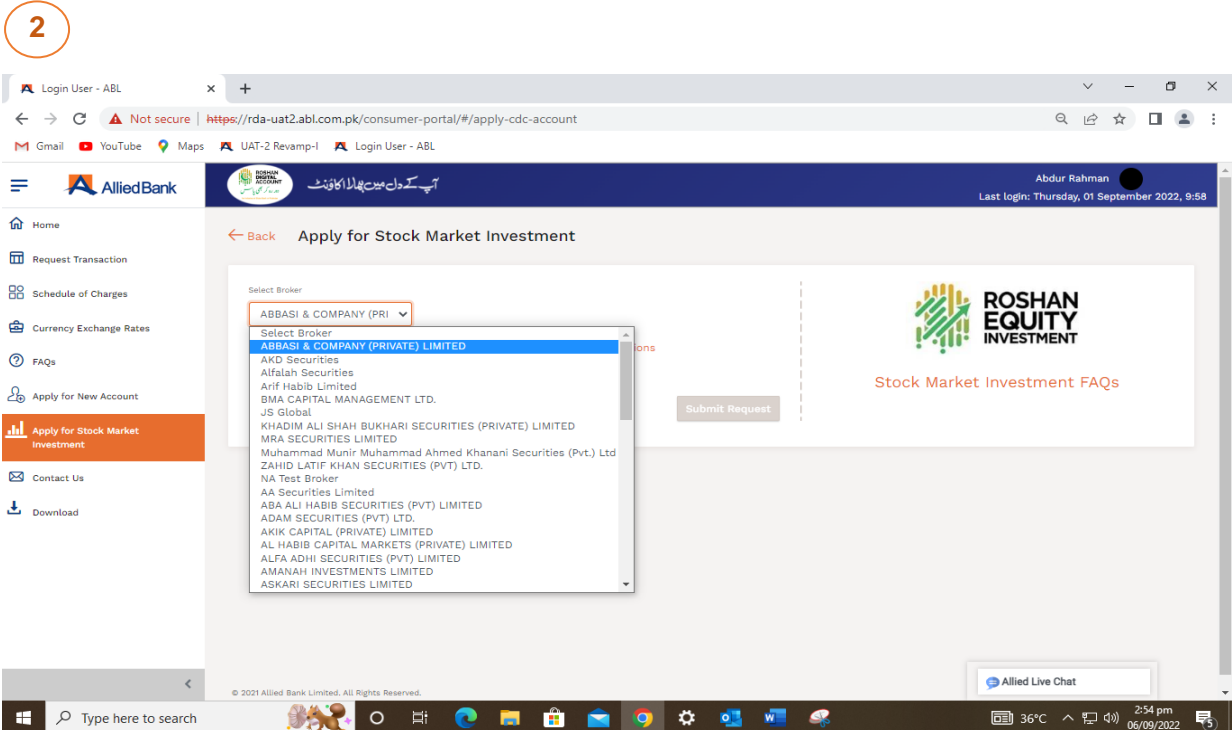


# USER GUIDE FOR ROSHAN EQUITY INVESTMENT



Press Button **Apply for Stock Market Investment**

بروکرز فہرست میں سے بروکر کا نام منتخب کریں



From Brokers list Select a Broker Name

بروکرز فہرست میں سے بروکر کا نام منتخب کریں

3

**TERMS AND CONDITIONS**  
Please read and understand the Terms and Conditions before signing and executing this form

These Terms and Conditions shall constitute a Contract between the Parties hereto. This Contract shall govern opening, maintenance and operations of Trading Account, Sub-Account and sharing of UIN to NCCPL and ancillary matters connected therewith.

**GENERAL TERMS AND CONDITIONS**

- All Trades, Transactions, including non Exchange Transactions, Derivative Contracts and deals (jointly referred to as "Transactions") between the Parties and Clearing and Settlement thereof and opening, maintenance and operations of Sub-Account for NRP in the CDS shall be subject to the Securities Act, 2015, Central Depositories Act, 1997, Pakistan Stock Exchange Limited (PSX) Regulations, Central Depository Company of Pakistan Limited (CDC) Regulations, CKO Regulations, 2017, National Clearing Company of Pakistan Limited (NCCPL) Regulations and the Securities Brokers (Licensing and Operations) Regulation, 2016 including Procedures, Manuals, Policies, Guidelines, Circulars, Directives, and Notifications issued and as amended thereunder by the Securities and Exchange Commission of Pakistan (SECP), PSX, CDC or NCCPL from time to time.
- The information provided in this application form shall be in addition to and not in derogation of the requirements prescribed under Anti-Money Laundering and Countering Financing of Terrorism Regulations, 2018.
- The Securities Broker/Participant shall ensure provision of copies of all the relevant laws, rules and regulations at its office for access to the Sub-Account Holder(s)/Customer(s) during working hours. The Securities Broker/Participant shall ensure that its website contains hyperlinks to the websites/pages on the website of PSX, CDC, NCCPL and the SECP displaying above said regulatory framework for reference of the Customers.
- In case of a Joint Account, all obligations and liabilities of the Applicants under these Terms and Conditions shall be joint and several.
- These Terms and Conditions shall be binding on the nominee, legal representative, successors in interest and/or permitted assigns of the respective Parties hereto.
- The Securities Broker/Participant shall provide a list of its Registered Offices and Representatives authorized and employees designated to deal with the Sub-Account Holder(s)/Customer(s) along with their authorized mobile/landline/fax number(s), email and registered addresses. Any change(s) therein shall be intimated in writing to the Sub-Account Holder(s)/Customer(s) with immediate effect.
- Subject to applicable laws, the Securities Broker/Participant shall maintain strict confidentiality of the Customer related information and shall not disclose the same to any third party. However, in case the SECP, PSX, CDC or any competent authority under the law, as the case may be, requires any such information, the Securities Broker/ Participant shall be obliged to disclose the same for which the Customer shall not raise any objection whatsoever.
- The Securities Broker/Participant shall independently verify any of the Customer's related information provided in this Form and under the relevant laws
- In case of any change(s) in the Customer related information provided in this Form, the Customer shall provide necessary details to the Participant/Securities Broker. Upon receipt of information

Please read **Terms & Conditions** for signing and executing this form.

براہ کرم اس فارم پر دستخط کرنے اور اس پر عمل کرنے کے لیے شرائط و ضوابط پڑھیں

4

(i) ability to submit e-marking instructions for DSS ("DSS") and online transaction orders for transferring securities to other accounts as prescribed by CDC from time to time, (an "Online Transaction Order") through the internet/web portal of CDC access or any other transaction related service that may be introduced by CDC from time to time (each and collectively "Online Transaction Services").

CDC makes no representation or warranty for any Information Service, Online Transaction Service or of any mode of communication used in CDC access and the Investor-Accountholder hereby unconditionally agrees that they shall make use of CDC access for the purposes of any Information Service or Online Transaction Service through a mode of communication subject to all hazards and circumstances as exist with the use of such modes of communication and their networks including, but not limited to, delays in communication and uploading of data/information.

CDC shall not be held liable for any improper or incorrect use of CDC access or any Information Service or Online Transaction Service through any mode of communication by the Investor-Accountholder and although the information thus provided or any transactions thereby submitted is intended for Investor-Accountholders, CDC assumes no responsibility for any third party's use of information obtained from or benefit taken from any transaction submitted in CDC access and/or unauthorised dissemination or disclosure of any information or the failure to complete any transaction.

CDC shall not be liable to any Investor-Accountholder for providing and making available CDC access, the Information Services or Online Transaction Services and/or for failure or delay in the provision of such services to Investor Account holders and all Investor Account holders, who subscribe to CDC access by using a mode of communication shall be deemed to have indemnified CDC held them harmless from and against any losses, damages, costs and expenses incurred or suffered by them as a consequence of use of CDC access, the Information Services, Online Transaction Services or any other value added services.

Additionally, CDC shall not be held responsible for and does not undertake liability for any damages or injury, including but not limited to those caused by any failure of performance, error, omission, interruption, deletion, defect, delay in operation or transmission, computer virus, communication line failure, theft or destruction and/or unauthorised access to, alteration of, or use of Information Services or Online Transaction Services in CDC access by any individual or corporate person.

All Investor-Accountholders hereby warrant and agree that their access of CDC access via the internet/web by use of a User-ID login, is using an advanced electronic signature and upon issuance of such User-ID login to Investor-Accountholders, they hereby waive any right to raise any objection to the compliance of the User-ID login with the criteria of an advance electronic signature.

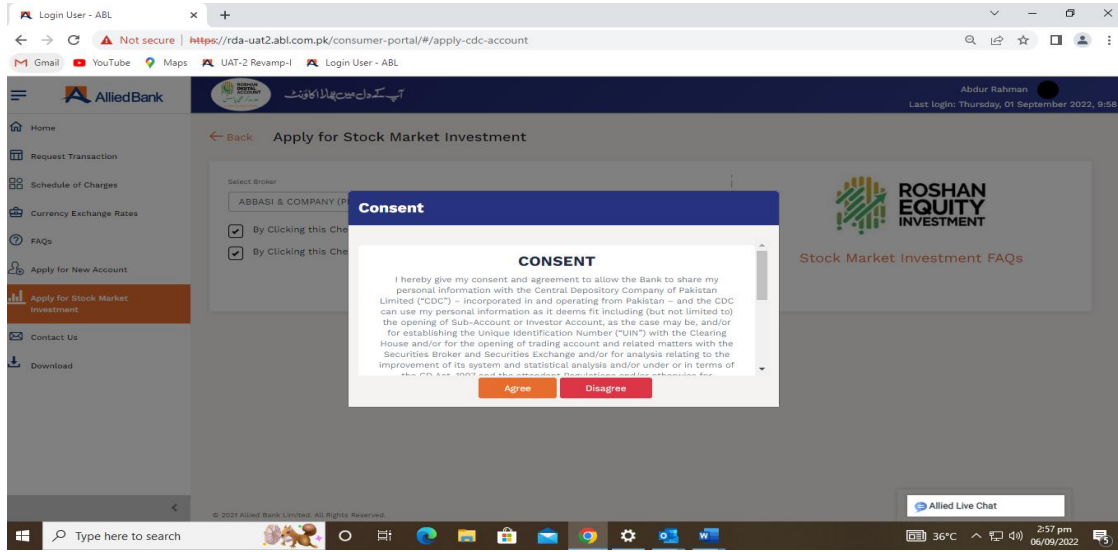
All Investor-Accountholders shall by their conduct of accessing or, as the case may be, using a mode of communication for CDC access agrees to all the terms and conditions and terms of use as shall appear on the CDC website at www.cdcaaccess.pk from time to time (these "Terms and Conditions") which shall be deemed to have been read and agreed to by the Investor-Accountholders before using or availing CDC access.

**Agree** **Disagree**

Press **Agree** or **Disagree** in Terms & Conditions

شرائط و ضوابط سے **Agree** یا **Disagree** کرنے کیلئے بٹن دبائیں

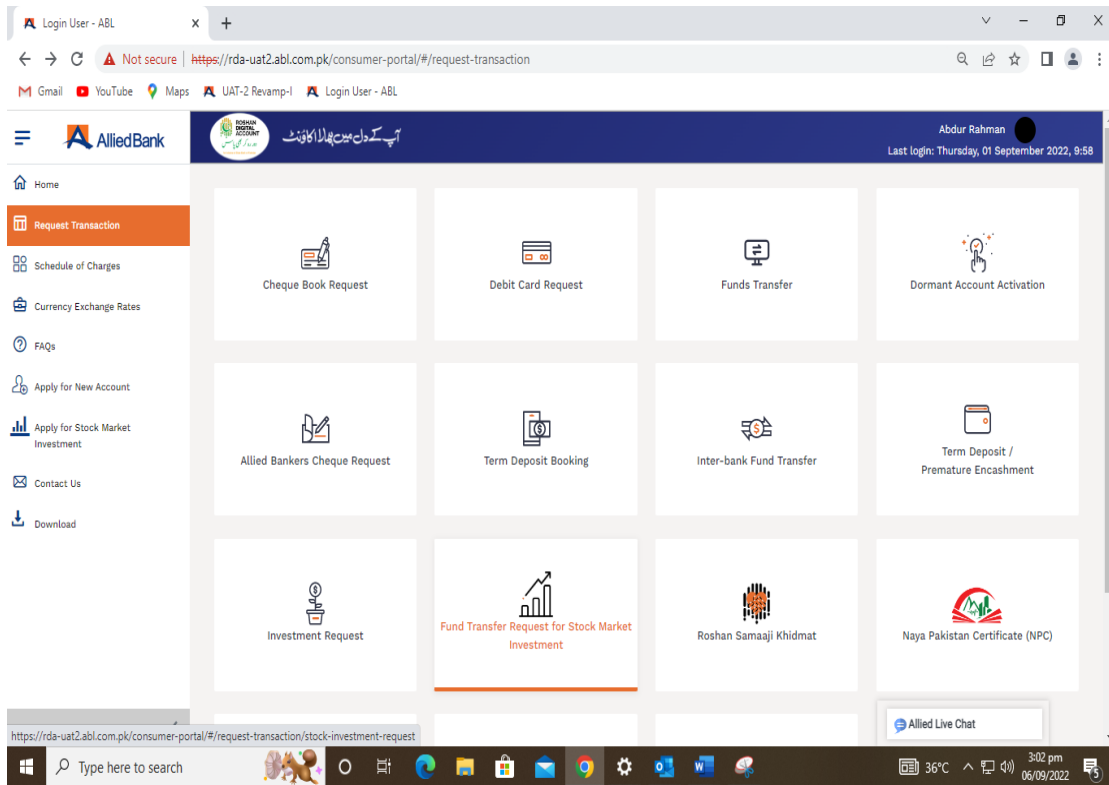
5



Press your **Agree** or **Disagree** in Consent declaration

رضامندی کے اعلامیہ سے **Agree** یا **Disagree** کرنے کیلئے یقین دہائیں

6



Select **Funds Transfer Request for Stock Market Investment**

**Funds Transfer Request for Stock Market Investment** منتخب کریں

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The screenshot displays the Allied Bank consumer portal interface. The browser address bar shows the URL: <https://rda-uat2.abl.com.pk/consumer-portal/#/request-transaction/stock-investment-request>. The user is logged in as 'Abdur Rahman' on Thursday, 01 September 2022, at 9:58. The page title is 'Fund Transfer Request for Stock Market Investment'. The main content area contains a form with the following fields: 'Account Number' (0010061387780029), 'CDC Account Number' (input field), and 'Enter Transaction Amount' (25000). A 'Submit Request' button is located below the form. To the right of the form, there is a logo for 'ROSHAN EQUITY INVESTMENT' and a link for 'Stock Market Investment FAQs'. The sidebar on the left includes navigation options: Home, Request Transaction, Schedule of Charges, Currency Exchange Rates, FAQs, Apply for New Account, Apply for Stock Market Investment, Contact Us, and Download. The footer of the page includes the copyright notice: '© 2021 Allied Bank Limited. All Rights Reserved.' and an 'Allied Live Chat' button.

**Select Account number from drop down menu of account Selection List And then Enter Transaction Amount.**

اکاؤنٹ سلیکشن لسٹ کے ڈراپ ڈاؤن مینو سے اکاؤنٹ نمبر منتخب کریں اور پھر ٹرانزیکشن رقم درج کریں